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PTO/SB/21 (08-03)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/777,355	
	Filing Date	February 5, 2001	
	First Named Inventor	McDaniel	
	Group Art Unit	2653	
	Examiner Name	Tan X. Dinh	
Total Number of Pages in This Submission	15	Attorney Docket Number	SEAG 5130

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="text-align: center;">Facsimile Cover Sheet</p>
<div style="border: 1px solid black; width: 100px; float: left; margin-right: 10px;">Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	MOSER PATTERSON & SHERIDAN, LLP
Signature	<i>James A. Sheridan</i>
Date	2-23-04

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Christina Mendoza		
Signature	<i>Christina Mendoza</i>	Date	2-23-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon on the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

Approved for use through 07/31/2008, OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2004**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 640**Complete if Known**

Application Number 09/777,355

Filing Date February 5, 2001

First Named Inventor McDaniel

Examiner Name Tan X. Dinh

Group / Art Unit 2653

Attorney Docket No. SEAG 5130

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit AccountDeposit  
Account  
Number

20-0782 (ORDER NO. SEAG 5130)

Deposit  
Account  
Name

MOSER PATTERSON &amp; SHERIDAN LLP

The Director is authorized to: (Check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) during pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)**

(\$ 0)

**2. EXTRA CLAIM FEES**

Total Claims	50	-20 **	=	30	X	Fee from below	18	=	540
Independent Claims	3	-3 **	=	0	X	Fee from below	0	=	0
Multiple Dependent					X	Fee from below		=	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependant claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)**

(\$ 540)

\*\* or number previously paid, if greater. For Reissues, see above

**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	90	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	65	Non-English specification	
1812	2,520	2812	2,520	For filing a request for reexamination	
1804	920*	2804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	2805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	960	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (g)	
1808	180	1808	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ 0)

**SUBMITTED BY**

Name (Print/Type)	James A. Sheridan	Registration No. Attorney/Agent	25,433	Telephone	650-330-2310
Signature	<i>James A. Sheridan</i>			Date	2/23/04

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## **FACSIMILE COVER SHEET**

**DATE:** February 23, 2004  
**FILE NO:** SEAG 5130  
**TO:** Tan X. Dinh  
**FAX NO:** 703-872-9314  
**PHONE NO:** 650-330-2310 x 3120  
**COMPANY:** USPTO  
**FROM:** James A. Sheridan/Christina Mendoza  
**PAGE(S) with cover:** 16  
**ORIGINAL TO FOLLOW?** ☐ YES ☒ NO

### **RESPONSE TO OFFICE ACTION DATED NOVEMBER 24, 2003**

**TITLE:** MEDIA FOR THERMOMAGNETIC RECORDING WITH  
THERMALLY ACTIVATED READ STORAGE SYSTEM  
**U.S. SERIAL NO.:** 09/777,355  
**FILING DATE:** February 5, 2001  
**INVENTOR:** McDaniel  
**EXAMINER:** Tan X. Dinh  
**GROUP ART UNIT:** 2653  
**CONFIRMATION NO.:** 5182

#### **CONFIDENTIALITY NOTE**

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12/B  
RESPONSE TO OFFICE ACTION

Serial No. 09/777,355

Page 1 of 13

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FEB 23 2004

Applicant: **McDaniel**  
Serial No.: **09/777,355**  
Examiner: **Dinh, Tan X.**  
Confirmation No.: **5182**

Docket No.: **SEAG 5130**  
Filed: **February 5, 2001**  
Group Art Unit: **2653**

**OFFICIAL**

TITLE: **MEDIA FOR THERMOMAGNETIC RECORDING WITH THERMALLY  
ACTIVATED READ STORAGE SYSTEM**

Mail Stop Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

CERTIFICATE OF FACSIMILE  
TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence and the documents referred to as attached therein are being facsimile transmitted to the U.S. Patent and Trademark Office to the fax number indicated by the Examiner, namely, fax number 703-872-9314 to the attention of the named Examiner, on the date below.

2/23/04  
DateCharles Hendon  
SignatureRESPONSE TO OFFICE ACTION DATED NOVEMBER 24, 2003

In response to the Office Action dated November 24, 2003 (Paper No. 3), having an extendable three-month shortened statutory period for response set to expire February 24, 2004, please enter this response and reconsider the claims pending in the application for reasons discussed below. The Commissioner is hereby authorized to charge counsel's Deposit Account No. 20-0782 for any fees, including extension of time fees or other fees, required to make this response timely and acceptable to the Office.

243704